# **REMARKS ON THE IWANIEC-ŠVERÁK CONJECTURE**

### KAI RAJALA

ABSTRACT. We give sufficient conditions that guarantee discreteness and openness of a mapping of finite distortion with integrable *n*-energy.

Mathematics Subject Classification (2000): 30C65, 26B10.

### 1. INTRODUCTION

Let  $f: \Omega \to \mathbb{R}^n$  be a  $W^{1,1}_{\text{loc}}$ -map with locally integrable Jacobian determinant  $J_f$ . Then f is  $K_O$ -quasiregular,  $1 \leq K_O < \infty$ , if

(1.1) 
$$|Df(x)|^n \le K_O J_f(x)$$
 for almost every  $x \in \Omega$ .

Moreover, f is a mapping of finite distortion if (1.1) holds for a measurable, almost everywhere finite function  $K_O$ . A fundamental theorem, due to Reshetnyak, says that a non-constant quasiregular map has strong topological properties. Namely, the preimage set of every point is discrete, and f is an open map, see [13].

Iwaniec and Šverák [8] proved in the plane that Reshetnyak's theorem remains valid for mappings of finite distortion f as long as  $f \in W^{1,n}_{\text{loc}}(\Omega, \mathbb{R}^n)$ and (1.1) holds for some

(1.2) 
$$K_O \in L^{n-1}_{\text{loc}}(\Omega),$$

and conjectured that this is the case in every dimension. A sharper form of this conjecture is stated by replacing assumption (1.2) with  $K_I \in L^1_{\text{loc}}(\Omega)$ , where  $K_I$  is a measurable function satisfying

(1.3) 
$$|D^{\sharp}f(x)|^n \leq K_I(x)J_f(x)^{n-1}$$
 for almost every  $x \in \Omega$ .

Here  $D^{\sharp}f$  is the adjoint matrix of Df. The inequality  $K_I \leq K_O^{n-1}$  holds for the smallest possible distortion functions. It is also an open problem whether  $K_I \in L_{loc}^p(\Omega)$  for some p > 1 suffices. Assumption (1.3) is very natural, because it is the inner distortion coefficient  $K_I$  that controls the relevant properties of the local inverse branches of discrete and open maps, the existence of which is the main content of Reshetnyak's theorem, cf. [7].

Both forms of the Iwaniec-Sverák conjecture remain open, but the case where f is assumed to be essentially finite-to-one is now well understood, see [6], [12], [5], and the proof of Theorem 2.2 below. In the general case Manfredi and Villamor [15] proved that discreteness and openness follow

Research supported by the Academy of Finland.

when  $K_O \in L^q_{loc}(\Omega)$  for some q > n-1, also see [2]. The main purpose of this note is to give the following improvement of their result.

**Theorem 1.1.** Let  $f : \Omega \to \mathbb{R}^n$ ,  $n \ge 2$ , be a non-constant mapping of finite distortion satisfying  $f \in W^{1,n}_{\text{loc}}(\Omega, \mathbb{R}^n)$ ,  $K_O \in L^{n-1}_{\text{loc}}(\Omega)$  and  $K_I \in L^p_{\text{loc}}(\Omega)$  for some p > 1. Then f is discrete and open.

The proof of Theorem 1.1 is given in Section 2. Although the Iwaniec-Šverák conjecture is known to be sharp in terms of the assumptions on  $K_O$ or  $K_I$  by an example given in [1], it seems that there are no such higherdimensional examples of maps with infinite multiplicity. In Section 3 we construct planar maps of infinite multiplicity with  $K_O \in L^p$  for every p < 1. These maps f are local homeomorphisms outside a line segment  $E = f^{-1}(0)$ . Our next result, the proof of which is given in Section 4, shows that maps with such properties cannot serve as counterexamples to the Iwaniec-Šverák conjecture in any dimension.

**Theorem 1.2.** Let  $f: \Omega \to \mathbb{R}^n$ ,  $n \geq 2$ , be a non-constant mapping of finite distortion satisfying  $f \in W^{1,n}_{\text{loc}}(\Omega, \mathbb{R}^n)$  and  $K_I \in L^1_{\text{loc}}(\Omega)$ . Assume moreover that f is a local homeomorphism outside a connected set  $E = f^{-1}(0)$ . Then f is discrete and open (and a local homeomorphism when  $n \geq 3$ ).

## 2. Proof of Theorem 1.1

We denote an *n*-ball with center x and radius r by B(x,r), and B(r) = B(0,r),  $B^n = B(0,1)$ . The corresponding notations for (n-1)-spheres are S(x,r) and S(r) = S(0,r). The Lebesgue measure of  $E \subset \mathbb{R}^n$  is |E|. The k-dimensional Hausdorff measure is denoted by  $\mathcal{H}^k$ . We will use the operator norm  $|\cdot|$  for matrices. When  $G \subset \Omega$ , notation N(y, f, G) refers to the number of preimage points of y under f in G.

In this section we assume that  $f \in W^{1,n}(\Omega, \mathbb{R}^n)$  is a non-constant mapping of finite distortion. Then (cf. [3]) f has a continuous representative, is differentiable almost everywhere, sense-preserving, and satisfies the change of variables formula

$$\int_G g(f(x))J_f(x)\,dx = \int_{fG} g(y)N(y,f,G)\,\mathrm{d}y.$$

By the classical proof of Reshetnyak's theorem, we know that if f has the properties listed above, then it is discrete and open if the preimage set  $f^{-1}(y)$  is totally disconnected for every  $y \in \mathbb{R}^n$ , cf. [3]. Therefore, we will prove Theorems 1.1 and 1.2 by showing that an arbitrary point y has this property. From [12] it follows that, under the assumption  $K_I \in L^1$ , no nontrivial component of  $f^{-1}(y)$  can be compactly contained in the domain  $\Omega$ .

2.1. Integrability of the adjoint differential. We may assume that  $\Omega = B^n$  and that our local integrability assumptions in fact global ones. We first

 $\mathbf{2}$ 

show that, under the assumptions of Theorem 1.1,

(2.1) 
$$\int_{S(t)} \frac{|D^{\sharp}f(x)|}{|f(x)|^{n-1}} \, \mathrm{d}\mathcal{H}^{n-1}(x) < \infty$$

for almost every 0 < t < 1. This is a rather straightforward consequence of some previously known results.

**Proposition 2.1.** Let f satisfy the assumptions of Theorem 1.1. Then (2.1) holds for almost every 0 < t < 1.

*Proof.* By [11], the assumption  $K_I \in L^p(B^n)$  for some p > 1 implies

(2.2) 
$$\int_{B(R)} \frac{|D^{\sharp}f(x)|^p}{(|f(x)|\log\frac{1}{|f(x)|})^{(n-1)p}} \, \mathrm{d}x < \infty \quad \text{for every } 0 < R < 1$$

(while the authors in [11] do not explicitly state this estimate, it follows from their arguments by replacing  $|Df|^{n-1}$  and  $K_O^{n-1}$  with  $|D^{\sharp}f|$  and  $K_I$ , respectively, and applying the corresponding distortion inequalities). Also, by [15] (see also [11]), the assumption  $K_O \in L^{n-1}(B^n)$  yields (we assume that |f| < 1/2)

$$u = \log \log \frac{1}{|f|} \in W^{1,n-1}_{\operatorname{loc}}(B^n).$$

Hence the integral (2.2) over S(t) is finite for almost every 0 < t < 1, and the trace of u in S(t) has (n-1)-integrable partial differentials. We fix such t. By Trudinger's inequality, cf. [16, Theorem 2.9.1],

$$\int_{S(t)} \left( \log \frac{1}{|f(x)|} \right)^q \mathrm{d}\mathcal{H}^{n-1}(x) < \infty$$

for every  $1 < q < \infty$ . Now Hölder's inequality yields

$$\int_{S(t)} \frac{|D^{\sharp}f(x)|}{|f(x)|^{n-1}} \, \mathrm{d}\mathcal{H}^{n-1}(x) = \int_{S(t)} \frac{|D^{\sharp}f(x)|(\log\frac{1}{|f(x)|})^{n-1}}{(|f(x)|\log\frac{1}{|f(x)|})^{n-1}} \, \mathrm{d}\mathcal{H}^{n-1}(x) \\
\leq \left(\int_{S(t)} \frac{|D^{\sharp}f(x)|^{p}}{(|f(x)|\log\frac{1}{|f(x)|})^{(n-1)p}} \, \mathrm{d}\mathcal{H}^{n-1}(x)\right)^{1/p} \\
\times \left(\int_{S(t)} \left(\log\frac{1}{|f(x)|}\right)^{q} \, \mathrm{d}\mathcal{H}^{n-1}(x)\right)^{(n-1)/q} < \infty$$

for some q > 1. The proposition follows.

From now on we only need to make the minimal assumption  $K_I \in L^1(B^n)$ . In view of Proposition 2.1, the following, independently interesting result implies Theorem 1.1.

**Theorem 2.2.** Suppose that  $K_I \in L^1(B^n)$ , and that (2.1) holds true for almost every 0 < t < 1. Then  $f^{-1}(0)$  is totally disconnected.

2.2. Multiplicity bound. The first step in proving Theorem 2.2 is to show that (2.1) implies that the map f is "on the average" finite-to-one around the origin.

**Lemma 2.3.** Let f satisfy the assumptions of Theorem 2.2. For almost every 0 < t < 1 and  $0 < r < \infty$ ,

$$r^{1-n} \int_{S(r)} N(y, f, B(t)) \, \mathrm{d}\mathcal{H}^{n-1}(y) \le C(n) \int_{S(t)} \frac{|D^{\sharp}f(x)|}{|f(x)|^{n-1}} \, \mathrm{d}\mathcal{H}^{n-1}(x).$$

*Proof.* Let  $\epsilon > 0$  be small, and

$$\phi(y) = \begin{cases} 0, & |y| < r - \epsilon, \\ \frac{|y| - r + \epsilon}{2\epsilon}, & r - \epsilon < |y| < r + \epsilon, \\ 1, & |y| \ge r + \epsilon. \end{cases}$$

We define a differential (n-1)-form

$$\omega(y) = \sum_{j=1}^{n} (-1)^{j-1} \frac{\phi(y)y_j}{|y|^n} dy_1 \wedge \ldots \wedge d\tilde{y}_j \wedge \ldots \wedge dy_n.$$

Then the pullback  $f^*\omega$  satisfies

$$||f^*\omega(x)|| \le C(n) \frac{|D^{\sharp}f(x)|}{|f(x)|^{n-1}}$$
 for almost every  $x \in B^n$ ,

where  $|| \cdot ||$  is any fixed norm. We denote

$$A(r,\epsilon) = B(r+\epsilon) \setminus \overline{B(r-\epsilon)}$$

Then

$$d\omega(y) = \frac{\chi_{A(r,\epsilon)}(y)}{2\epsilon |y|^{n-1}} dy_1 \wedge \ldots \wedge dy_n, \text{ and}$$
$$df^*\omega(x) = \frac{\chi_{f^{-1}A(r,\epsilon)}(x)J_f(x)}{2\epsilon |f(x)|^{n-1}} dx_1 \wedge \ldots \wedge dx_n$$

almost everywhere. We choose 0 < t < 1 so that Stokes theorem holds in B(t) (by approximating with smooth forms one sees that it holds for almost every t). By change of variables and Stokes theorem,

$$\int_{A(r,\epsilon)} \frac{N(y,f,B(t))}{2\epsilon |y|^{n-1}} \, \mathrm{d}y = \int_{B(t)} df^* \omega = \int_{S(t)} f^* \omega$$
$$\leq C(n) \int_{S(t)} \frac{|D^{\sharp}f(x)|}{|f(x)|^{n-1}} \, \mathrm{d}\mathcal{H}^{n-1}(x).$$

When  $\epsilon \to 0$ , the first integral converges to

$$\frac{1}{r^{n-1}} \int_{S(r)} N(y, f, B(t)) \, \mathrm{d}\mathcal{H}^{n-1}(y)$$

for almost every r by the Lebesgue differentiation theorem. The lemma follows.  $\Box$ 

4

2.3. Bad points. We need an auxiliary result. Let W' be the set of points y in  $fB^n$  for which  $f^{-1}(y)$  has a nontrivial component. Moreover, let

 $W = \{ (x_1, \dots, x_{n-1}) \in \mathbb{R}^{n-1} : \exists t \in \mathbb{R} \text{ so that } (x_1, \dots, x_{n-1}, t) \in W' \}.$ 

**Lemma 2.4.** Let f satisfy the assumptions of Theorem 2.2. Then

$$\mathcal{H}^{n-1}(W) = 0.$$

Proof. Let  $Z \in B^n$  be the set of points that belong to some nontrivial component of  $f^{-1}(y)$  for some  $y \in \mathbb{R}^n$ . Then Z is a closed set, and f is discrete and open outside Z. Let  $W_j$ ,  $j = 1, 2, \ldots$ , be the set of points y in W for which  $\mathcal{H}^1(f^{-1}(y \times \mathbb{R}) \cap Z) \geq 1/j$ . Then  $W \subset \bigcup_j W_j$ . By the coarea formula (see [10]),

(2.3) 
$$j^{-1}\mathcal{H}^{n-1}(W_j) \leq \int_{W_j} \mathcal{H}^1(f^{-1}(y \times \mathbb{R}) \cap Z) = y\}) \, \mathrm{d}\mathcal{H}^{n-1}(y)$$
$$\leq C(n) \int_Z |D^{\sharp}f(x)| \, \mathrm{d}x.$$

Since f is differentiable almost everywhere,  $J_f(x) = 0$  for almost every  $x \in Z$ . Moreover, since f is a mapping of finite distortion, it follows that also  $|D^{\sharp}f(x)| = 0$  for almost every such x. We conclude that the last integral in (2.3) equals 0. The proof is complete.

2.4. Modulus of path families. Let  $g \ge 0$  be measurable and  $\Gamma$  a family of paths in an open set  $\Omega$ . Then the weighted conformal modulus  $M_q\Gamma$  is

$$M_g \Gamma = \inf_{\rho \in X} \int_{\Omega} g(x) \rho(x)^n \, \mathrm{d}x,$$

where X is the set of all Borel functions  $\rho : \Omega \to [0, \infty]$  for which  $\int_{\gamma} \rho \, ds \ge 1$  for every locally rectifiable  $\gamma \in \Gamma$ . The conformal modulus  $M\Gamma$  corresponds to the function g = 1.

We will use the following familiar estimate. Fix, for every  $R \in E \subset (0, \infty)$ , disjoint points  $p_R$  and  $q_R$  in S(R). Moreover, let  $\Gamma_R$  be the family of paths joining  $p_R$  and  $q_R$  in S(R), and  $\Gamma$  the union of the  $\Gamma_R$ :s. Then

(2.4) 
$$M\Gamma \ge C_n \int_E \frac{\mathrm{d}R}{R}.$$

This estimate also holds if instead of spheres S(R) we consider only spherical caps  $C_R \subset S(R)$  for which both  $p_R$  and  $q_R \in C_R$ . See [14, Chapter 10] for these facts. In fact, (2.4) is equivalent to the Sobolev embedding theorem for  $W^{1,n}(C_R)$ . By examining the standard proof, we see that (2.4) remains valid if we define  $\Gamma$  in the following way. Let  $p_R$  and  $q_R \in C_R$ , and let  $T_R$  be the intersection of  $C_R$  with the set of points *a* that satisfy  $|a-p_R| = |a-q_R|$ . Now we define  $\Gamma'_R$  as the family of paths  $\gamma$  such that each  $\gamma$  first connects

 $p_R$  to some  $a \in T_R$  with a spherical geodesic, and then a to  $q_R$  with another spherical geodesic. Let  $\Gamma_R^0 \subset \Gamma_R'$  be a subfamily of paths so that the union

$$\bigcup_{\gamma\in\Gamma^0_R}|\gamma|$$

covers at most a set of vanishing (n-1)-measure in  $C_R$ , and define

$$\Gamma_R = \Gamma'_R \setminus \Gamma^0_R.$$

If  $\Gamma$  is again the union of the  $\Gamma_R$ :s, then (2.4) holds.

In [9] it was shown that a weak version of the conformal invariance of modulus remains valid (basically) in our setting, in the following sense.

**Lemma 2.5.** Let W' be as in 2.3 and let  $\Gamma$  be a family of paths in  $B^n$  so that  $f(|\gamma|) \cap W' = \emptyset$  for every  $\gamma \in \Gamma$ . Then  $Mf\Gamma \leq M_{K_I}\Gamma$ .

*Proof.* By [9], the claim holds if f is discrete and open (in [9] it is assumed that  $K_O \in L^p$  for some p > n-1, but only to have discreteness and openness. If this is already known, the arguments there go through with the assumption  $K_I \in L^1$ ). The lemma follows since  $f^{-1}(\mathbb{R}^n \setminus W')$  is discrete and open.  $\Box$ 

Finally, we will lift paths, see [13, II.3]. Let  $f: \Omega \to \mathbb{R}^n$  be a continuous, discrete, open, and sense-preserving map. Moreover, let  $\gamma: [0,1) \to \mathbb{R}^n$  be a path, and  $x \in \Omega$  such that  $f(x) = \gamma(0)$ . Then, by [13, Theorem II.3.2], there exists a so-called maximal f-lifting  $\gamma'$  of  $\gamma$  starting at  $x_0$ . That is,  $\gamma'$  is a path that has the following properties:  $\gamma'(0) = x, f \circ \gamma' = \gamma | [0, c),$ and if c < c' < 1, then there does not exist a path  $\gamma'': [0, c') \to \Omega$  so that  $\gamma' = \gamma'' | [0, c)$  and  $f \circ \gamma'' = \gamma | [a, c')$ . If  $\Gamma$  and  $\Gamma'$  are path families so that every  $\gamma \in \Gamma$  has a maximal f-lifting  $\gamma' \in \Gamma'$ , then clearly  $Mf\Gamma' \ge M\Gamma$ .

2.5. **Proof of Theorem 2.2.** We will prove that  $f^{-1}(y)$  is totally disconnected for every  $y \in \mathbb{R}^n$ . Without loss of generality, y = 0. We choose a nontrivial component E of  $f^{-1}(0)$ . By restricting f to a small ball centered at some point in E and rotating, we may assume that a connected subset of E joins 0 and  $e_n/2$  in B(1/2). We fix an even integer M, to be determined later. We denote

$$\Omega_j = \{ x \in B^n : (j-1)/M < x_n < j/M \}, \quad j = 1, \dots, M/2.$$

Also, for each j we choose a line segment  $I_j : [0,1] \to V_j$  so that  $|f(I_j(0))| = s$ and  $f(I_j(1)) = 0$ . Here s > 0 is some fixed number not depending on j, and  $V_j = \{x \in B(1/2) : x_n = (j - 1/2)/M\}.$ 

We now fix j, and let  $\Phi_j$  be the set of spheres S(r), 0 < r < s, for which there exists

(2.5) 
$$q_r \in S(r) \setminus f(\overline{\Omega_j \cap B(2/3)}) = S(r) \setminus F_j.$$

We will prove a modulus estimate for paths on these spheres. This estimate is not affected if we modify  $\Phi_j$  in a set of vanishing 1-measure.

Because  $\mathbb{R}^n \setminus F_j$  is open, there exists for every  $r \in \Phi_j$  a line segment

(2.6) 
$$\xi_r^{\epsilon} = \{ tq_r : 1 - \epsilon < t < 1 + \epsilon \} \subset \mathbb{R}^n \setminus F_j.$$

Also, there exists  $p_r \in f(I_j[0,1]) \cap S(r)$ . If  $p_r \notin W'$ , then the discreteness and openness of f outside  $f^{-1}W'$  imply that there exists a line segment

(2.7) 
$$\psi_r^{\delta} = \{tp_r : 1 - \delta < t < 1 + \delta\} \subset fQ_M \setminus W',$$

where  $Q_M$  is the M/10-neighborhood of  $I_j[0, 1]$ . If  $p_r \in W'$ , we can guarantee the validity of (2.7) on almost every 0 < r < s by choosing  $p_r$  to be any point in  $fQ_M \cap S(r) \setminus W'$  (that this set is nonempty for almost every 0 < r < s can be seen using Lemma 2.4 and the absolute continuity of fon almost every segment in  $Q_M$  parallel to  $I_j$ ). We denote  $\epsilon_r = \min{\{\epsilon, \delta\}}$ , where  $\epsilon$  and  $\delta$  are as in (2.6) and (2.7), respectively.

Next, by using suitable coverings we may assume that

$$\Phi_j = \bigcup_{k=1}^{\infty} \Delta_k, \quad \Delta_k = (r_k(1 - \epsilon_k), r_k(1 + \epsilon_k)), \quad \epsilon_k = \epsilon_{r_k},$$

where the intervals  $\Delta_k$  have bounded overlap. Let

$$A_k = \{ x \in S(r) : r \in \Delta_k \}.$$

We will consider paths in  $A_k$  that join  $\psi_k = \psi_{r_k}^{\epsilon_k}$  to  $\xi_k = \xi_{r_k}^{\epsilon_k}$ . By using local coverings of  $A_k \setminus (\psi_k \cup \xi_k)$  and applying Lemma 2.4 in the coverings (together with bi-Lipschitz maps) in connection with the paths we constructed after (2.4), we see that if  $\Gamma_k$  is the family of all paths joining  $\psi_k$  to  $\xi_k$  in  $A_k \setminus W'$ , then  $M\Gamma_k \geq \int_{\Delta_k} \frac{\mathrm{d}r}{r}$ , and so

(2.8) 
$$M\Gamma \ge \int_{\Phi_j} \frac{\mathrm{d}r}{Cr}, \quad \text{where} \quad \Gamma = \cup_{k=1}^{\infty} \Gamma_k.$$

On the other hand, we have constructed the  $\psi_k$ :s and  $\xi_k$ :s so that for every  $\gamma \in \Gamma$  there exists a maximal *f*-lifting  $\gamma'$  that starts at a point in  $Q_M$  and leaves  $\Omega_j$  (see (2.5)). This implies that every such  $\gamma'$  has length at least 1/(8M). Let  $\Gamma'$  be the family of all these lifts. Then

(2.9) 
$$M_{K_I} \Gamma' \le (8M)^n \int_{\Omega_j} K_I(x) \, \mathrm{d}x < \infty.$$

By combining Lemma 2.5, (2.8), and (2.9), we see that the logarithmic measure of  $\Phi_j$  is finite. Consequently, the logarithmic measure of the union  $\Phi = \bigcup_j \Phi_j$  is finite. This means that most of the spheres S(r) for small r are covered at least M/2 times by fB(2/3). It follows that for a set of r:s with infinite logarithmic measure,

$$\int_{S(r)} N(y, f, B(2/3)) \, \mathrm{d}\mathcal{H}^{n-1}(y) \ge M/2.$$

This contradicts Lemma 2.3 and (2.1) when M is chosen to be large enough. The proof of Theorem 2.2 (and consequently the proof of Theorem 1.1) is complete.

### 3. Examples

The sharpness of the condition  $K_O \in L^{n-1}$  (or  $K_I \in L^1$ ) in the Iwaniec-Šverák conjecture is shown by a simple example that squeezes a line segment to a point with a map that is homeomorphic outside the line segment, see [1]. Other examples with more interesting preimage sets have been constructed in [4]. These examples are not homeomorphisms outside the nontrivial preimage sets, but they are finite-to-one. Here we construct some planar examples in which the image of a square spirals around the origin.

We define a map  $f: (1,2) \times (-1,1) \to \mathbb{R}^2$  so that  $f((1,2) \times \{0\}) = \{0\}$ . It suffices to give the construction in  $(1,2) \times [0,1)$ , because one can then extend f to the rest of the domain by the reflecting:

$$f(x_1, x_2) = (f_1(x_1, -x_2), -f_2(x_1, -x_2)).$$

Let

$$f(x_1, x_2) = (x_1 r(x_2) \cos(\log x_2), x_1 r(x_2) \sin(\log x_2)),$$

where  $r(x_2) \to 0$  as  $x_2 \to 0$ . Then

$$Df(x) = \begin{bmatrix} r(x_2)\cos(\log x_2) & x_1\left(\partial_2 r(x_2)\cos(\log x_2) - \frac{r(x_2)\sin(\log x_2)}{x_2}\right) \\ r(x_2)\sin(\log x_2) & x_1\left(\partial_2 r(x_2)\sin(\log x_2) + \frac{r(x_2)\cos(\log x_2)}{x_2}\right) \end{bmatrix}.$$

Moreover,  $J_f(x) = \frac{x_1 r(x_2)^2}{x_2}$ ,

$$|Df(x)|^2 \le C \max\left\{\frac{r(x_2)^2}{x_2^2}, (\partial_2 r(x_2))^2\right\},\$$

and

$$K_O(x) \le C \max\left\{\frac{1}{x_2}, \frac{x_2(\partial_2 r(x_2))^2}{r(x_2)^2}\right\}.$$

Now, if we choose  $r(x_2) = x_2^{\alpha}$  for some  $\alpha \ge 1$ , then f is Lipschitz, and satisfies  $K_O \in L^p$  for every p < 1. However, the restriction of f to  $(1,2) \times (0,1)$  is then finite-to-one. If, on the other hand,

$$r(x_2) = \left(\log \frac{2}{x_2}\right)^{-\alpha}$$
 for some  $\alpha > 1$ ,

then again  $K_O \in L^p$  for every p < 1, but now f has infinite multiplicity. This map f does not satisfy the assumption  $f \in W^{1,2}$  in Theorem 1.1, but it belongs to  $W^{1,1}$ , and it has all the essential analytic properties listed in the beginning of Section 2.

## 4. Proof of Theorem 1.2

By [8], we may assume that  $\Omega = B^n$  and  $n \ge 3$ . Moreover, by [12] we may assume that the connected set  $f^{-1}(0)$  intersects B(1/2) but is not compactly contained in  $B^n$ . Let

$$R = \max_{x \in \overline{B(1/2)}} |f(x)| > 0.$$

We claim that for every 0 < r < R there exist  $x_r \in B(1/2)$  and  $q_r \in S(r)$ so that  $p_r = f(x_r) \in S(r)$  and so that every path  $\gamma$  joining  $p_r$  and  $q_r$  in some spherical cap  $C_r \subset S(r)$  has a maximal *f*-lifting  $\gamma'$  starting at  $x_r$  and leaving B(2/3) (see 2.4). Suppose for the moment that this is true. Let  $\Gamma$ be the family of all these  $\gamma$ :s, and  $\Gamma'$  the family of the corresponding lifts. Then

$$M\Gamma \leq M_{K_I}\Gamma'$$

by Lemma 2.5 and the discussion at the end of 2.4. Since the lengths of all  $\gamma'$ :s are at least 1/6,

$$M_{K_I} \Gamma' \le 6^n \int_{B(2/3)} K_I(x) \, \mathrm{d}x < \infty.$$

But by (2.4) (and the discussion afterwoods),  $M\Gamma = \infty$ . This is a contradiction. Thus it suffices to verify the claim above. The argument is basically the same as the main step in the proof of the Zorich Global Homeomorphism Theorem, see [13, III.3]. Therefore we omit most details.

We fix 0 < r < R, and a point  $p_r = f(x_r)$  so that  $x_r \in B(1/2)$ . Let  $C_{\varphi} \subset S(r)$  be the relatively open spherical cap centered at  $p_r$  with opening angle  $0 < \varphi \leq \pi$  (that is,  $C_{\varphi} = B(p_r, t) \cap S(r)$  for some 0 < t < 2r). Denote by  $\varphi_0$  the supremum of all the  $\varphi$ :s with the property that the restriction of f to the  $x_r$ -component  $U_{\varphi}$  of  $f^{-1}(C_{\varphi})$  is a homeomorphism onto  $C_{\varphi}$ . Since f is a local homeomorphism outside  $f^{-1}(0), \varphi_0 > 0$ . Also, if  $\varphi_0 < \pi$ , then  $\overline{U_{\varphi_0}}$  must intersect S(1). In this case the required point

$$q_r \in B^n \setminus B(2/3)$$

can be found in a suitable  $C_{\varphi}$ ,  $\varphi < \varphi_0$ ;  $q_r$  has the desired properties because the restriction of f to  $U_{\varphi}$  is a homeomorphism. Thus we may assume that  $\varphi_0 = \pi$ . Then  $C_{\varphi_0}$  is a punctured sphere. The main point of the argument now is that when  $n \geq 3$ , and if  $U_{\varphi_0}$  is compactly contained in  $B^n$ , then the restriction of f extends to a homeomorphism of  $\overline{U_{\varphi_0}}$  onto S(r), cf. [13, III.3]. We denote the bounded component of  $\mathbb{R}^n \setminus \overline{U_{\varphi_0}}$  by V. We choose  $a \in V \setminus f^{-1}(0)$ . Then, if  $|f(a)| \geq r$ , there exists a path  $\gamma$  starting at f(a) and converging to infinity, so that f(a) is the only point in  $|\gamma| \cap \overline{B}(r)$ . Thus the maximal f-lifting  $\gamma'$  of  $\gamma$ , starting at a, stays in V. This is a contradiction, and so we must have  $a \in B(r)$ . Then if we lift a line segment joining f(a)and 0, starting at a, we see that  $V \cap f^{-1}(0) \neq \emptyset$ . This is a contradiction because  $f^{-1}(0)$  is a connected set not compactly contained in  $B^n$ , and

$$\partial V \cap f^{-1}(0) = \overline{U_{\varphi_0}} \cap f^{-1}(0) = \emptyset.$$

We conclude that f is discrete and open. That f is a local homeomorphism follows from the fact that the set  $\mathcal{B}_f$  where f is not a local homeomorphism is either empty or satisfies  $\mathcal{H}^{n-2}(f\mathcal{B}_f) > 0$ , see [13, III 5.3].

#### References

- J. M. Ball. Global invertibility of Sobolev functions and the interpenetration of matter. Proc. Roy. Soc. Edinburgh Sect. A, 88(3-4):315-328, 1981.
- [2] J. Björn. Mappings with dilatation in Orlicz spaces. Collect. Math., 53(3):303–311, 2002.
- [3] J. Heinonen and P. Koskela. Sobolev mappings with integrable dilatations. Arch. Rational Mech. Anal., 125(1):81–97, 1993.
- [4] J. Heinonen and S. Rickman. Geometric branched covers between generalized manifolds. Duke Math. J., 113(3):465–529, 2002.
- [5] S. Hencl and P. Koskela. Mappings of finite distortion: discreteness and openness for quasi-light mappings. Ann. Inst. H. Poincaré Anal. Non Linéaire, 22(3):331–342, 2005.
- [6] S. Hencl and J. Malý. Mappings of finite distortion: Hausdorff measure of zero sets. Math. Ann., 324(3):451–464, 2002.
- [7] T. Iwaniec. The Reshetnyak theorems, advances and new perspectives. In Proceedings on Analysis and Geometry (Russian) (Novosibirsk Akademgorodok, 1999), pages 255– 272. Izdat. Ross. Akad. Nauk Sib. Otd. Inst. Mat., Novosibirsk, 2000.
- [8] T. Iwaniec and V. Šverák. On mappings with integrable dilatation. Proc. Amer. Math. Soc., 118(1):181–188, 1993.
- [9] P. Koskela and J. Onninen. Mappings of finite distortion: capacity and modulus inequalities. J. Reine Angew. Math., 599:1–26, 2006.
- [10] J. Malý, D. Swanson, and W. P. Ziemer. The co-area formula for Sobolev mappings. *Trans. Amer. Math. Soc.*, 355(2):477–492 (electronic), 2003.
- [11] J. Onninen and X. Zhong. Mappings of finite distortion: new proof of discreteness and openness. Proc. Roy. Soc. Edinburgh, 138(5):1097–1102, 2008.
- [12] K. Rajala. Reshetnyak's theorem and the inner distortion. *Pure Appl. Math. Quarterly, to appear.*
- [13] S. Rickman. Quasiregular mappings, volume 26 of Ergebnisse der Mathematik und ihrer Grenzgebiete (3) [Results in Mathematics and Related Areas (3)]. Springer-Verlag, Berlin, 1993.
- [14] J. Väisälä. Lectures on n-dimensional quasiconformal mappings. Springer-Verlag, Berlin, 1971. Lecture Notes in Mathematics, Vol. 229.
- [15] E. Villamor and J. J. Manfredi. An extension of Reshetnyak's theorem. Indiana Univ. Math. J., 47(3):1131–1145, 1998.
- [16] W. P. Ziemer. Weakly differentiable functions, volume 120 of Graduate Texts in Mathematics. Springer-Verlag, New York, 1989. Sobolev spaces and functions of bounded variation.

University of Jyväskylä Department of Mathematics and Statistics P.O. Box 35 (MaD) FI-40014 University of Jyväskylä Finland e-mail: kirajala@maths.jyu.fi