

MAPPINGS OF FINITE DISTORTION: FORMATION OF CUSPS III

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ABSTRACT. We give sharp integrability conditions on the distortion of a planar homeomorphism that maps a standard cusp onto the unit disk.

1. INTRODUCTION

In this paper, we consider homeomorphisms $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ so that $f \in W_{\text{loc}}^{1,1}(\mathbb{R}^2; \mathbb{R}^2)$, $J_f(x) \geq 0$ almost everywhere and $Df(x) = 0$ almost everywhere in the zero set of the Jacobian determinant J_f of f . It then immediately follows that

$$|Df(x)|^2 \leq K(x)J_f(x)$$

almost everywhere, where $1 \leq K(x) < \infty$. The optimal such a function K_f is given by setting $K_f(x) = 1$ when $J_f(x) = 0$ or when $Df(x)$ does not exist and by letting $K_f(x) = |Df(x)|^2/J_f(x)$ otherwise. We then say that f is a homeomorphism of finite distortion and call the above optimal function K_f the distortion function of f . Since the Jacobian determinant of any homeomorphism $f \in W_{\text{loc}}^{1,1}(\mathbb{R}^2; \mathbb{R}^2)$ is locally integrable (cf. [6, 8]), we deduce that the class of homeomorphisms of bounded distortion coincides with the class of quasiconformal mappings. For the basic properties of these mappings see [1, 2, 5, 6, 8]. The purpose of this paper is to continue our efforts [7, 9] to understand the geometry of the image of the unit disk under a homeomorphism with a nicely integrable distortion function.

Recall that a Jordan domain Ω is a quasidisk if $\Omega = f(B(0, 1))$ for some quasiconformal mapping $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$. Quasidisks have a geometric characterization in terms of the so-called three point condition that fails for example if the boundary of Ω contains a cusp. This is in particular the case for our model domains

$$(1) \quad \Omega_s = \{(x_1, x_2) \in \mathbb{R}^2 : 0 < x_1 < 1, |x_2| < x_1^{1+s}\} \cup B(x_s, r_s),$$

where $s > 0$, $x_s = (s+2, 0)$ and $r_s = \sqrt{(s+1)^2 + 1}$. Based on our previous work [7, 9] we know essentially sharp (exponential integrability) conditions on the distortion function under which Ω_s can arise as the

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image of the unit disk $B := B(0, 1)$ under a homeomorphism of finite distortion.

Notice that the inverse of a quasiconformal mapping is also quasiconformal. Thus Ω_s cannot be the image of B under a homeomorphism whose inverse is quasiconformal. By a recent result from [4], the inverse of a homeomorphism of finite distortion is also of finite distortion. However, even when $\exp(\lambda K_f)$ is locally integrable, one only has that $K_{f^{-1}}^{e\lambda}$ is locally integrable. By requiring yet higher integrability from K_f one could guarantee that $K_{f^{-1}}$ be exponentially integrable and thus apply the known results to rule out Ω_s as the image under a mapping of finite distortion under a non-trivial bound on the distortion of the inverse mapping. Our first result gives a much better conclusion.

Theorem 1. *Let $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ be a homeomorphism of finite distortion such that $K_{f^{-1}} \in L_{\text{loc}}^p(\mathbb{R}^2)$ for some $1 \leq p < \infty$. If $f(B) = \Omega_s$ for some $s > 0$, then necessarily $s \leq 4/(p-1)$. If, in addition, f is assumed to be quasiconformal on B , then $s \leq 2/p$.*

Our second result shows that the second conclusion in Theorem 1 is optimal and that also the first conclusion is rather optimal.

Theorem 2. *For $s > 0$ given, there exists a homeomorphism of finite distortion, $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$, with $f(B) = \Omega_s$, such that $K_{f^{-1}} \in L_{\text{loc}}^p(\mathbb{R}^2)$ for all $p < 2/s + 1$. If one only requires that $K_{f^{-1}} \in L_{\text{loc}}^p(\mathbb{R}^2)$ for all $p < 2/s$, then f can be made quasiconformal on B .*

For the proof of Theorem 1 we establish the following modulus of continuity estimate that we expect to be of independent interest. The optimality of the exponent $p/2$ below can be seen by considering the radial mapping $f(x) = x|x|^{-1} \log^{-q}((1+|x|)/|x|)$.

Theorem 3. *Let $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ a homeomorphism of finite distortion. If $K_{f^{-1}} \in L_{\text{loc}}^p(\mathbb{R}^2)$ for some $1 \leq p < \infty$, then for all $|x-y| < 1/2$*

$$(2) \quad |f(x) - f(y)| \leq \frac{C(p, \|K_{f^{-1}}\|_{L^p(G)})}{\log^{\frac{p}{2}}(1/|x-y|)},$$

where $G = f(B(x, 1))$.

We give the necessary definitions in Section 2 below and prove the above theorems in Section 3.

2. DEFINITIONS AND PRELIMINARIES

We denote by $B(x, r)$ the open disk of radius $r > 0$ centered at $x \in \mathbb{R}^2$ and write $B := B(0, 1)$ for the unit disk. The boundary of a set A is denoted by ∂A and the closure by \bar{A} .

Let $\Omega \subset \mathbb{R}^2$ be a domain, i.e. a connected and open subset of \mathbb{R}^2 . We say that a homeomorphism $f: \Omega \rightarrow f(\Omega) \subset \mathbb{R}^2$ has finite distortion if the following conditions are satisfied:

1. $f \in W_{\text{loc}}^{1,1}(\Omega; \mathbb{R}^2)$
2. $|Df(x)|^2 \leq K(x)J_f(x)$ a.e. $x \in \Omega$

for some measurable function $K(x) \geq 1$ which is finite almost everywhere. The optimal such a function $K_f(x)$ is referred to as the distortion (function) of f and the phrase *exponentially integrable distortion* means that $\exp(\lambda K_f) \in L_{\text{loc}}^1(\Omega)$ for some $\lambda > 0$.

Above $Df(x)$ denotes the differential matrix of f at the point x (which for $f \in W_{\text{loc}}^{1,1}(\Omega; \mathbb{R}^2)$ exists a.e.) and $J_f(x) := \det Df(x)$ is the Jacobian. The norm of $Df(x)$ is defined as

$$|Df(x)| := \max\{|Df(x)e| : e \in \mathbb{R}^2, |e| = 1\}.$$

Let E be a compact set in a domain $G \subset \mathbb{R}^2$. The p -capacity of the pair (G, E) is defined to be

$$\text{cap}_p(G, E) = \inf \left\{ \int_G |\nabla u(x)|^p dx : u \in C(G) \cap W_0^{1,1}(G), \right. \\ \left. \text{such that } u \geq 1 \text{ on } E \right\}.$$

For $s > 0$ our model cusp domains $\Omega_s \subset \mathbb{R}^2$ are defined as in (1). The parameter s determines the degree of the cusp, and omitting the origin (tip of the cusp) the boundary of Ω_s is smooth. As already noted, Ω_s is not a quasidisk, because the three point condition fails (only) at the tip of the cusp.

Next lemma provides a capacity -type estimate which is to be used in the proof of Theorem 3.

Lemma 1. *Let $G \subset \mathbb{R}^2$ be a bounded domain and $E \subset G$ a continuum. Suppose that $u \in W_0^{1,1}(G)$ is continuous and $u \geq 1$ on E . Then*

$$(3) \quad \int_G |\nabla u|^p \geq C(p)(\text{diam } E)^{2-p},$$

for all $1 \leq p < 2$.

Proof. First we extend u as zero to $\mathbb{R}^2 \setminus G$ and denote also this extension by u . Pick $x_0 \in E$ such that $S(x_0, r) \cap E \neq \emptyset$ for all $d/2 \leq r \leq d := \text{diam } E$. Suppose first that $u(x) \geq 1/2$ on $S(x_0, r_0)$ for some $d/2 \leq r_0 \leq d$ and pick $R \geq r_0$ such that $G \subset B(x_0, R)$. Notice, that

$$\tilde{u}(x) = \begin{cases} 2u(x) & \text{when } |x - x_0| \geq r_0 \\ \max\{1, 2u(x)\} & \text{when } |x - x_0| < r_0 \end{cases}$$

is a suitable test function for $\text{cap}_p(B(x_0, R), \overline{B}(x_0, r_0))$ and $|\nabla \tilde{u}|/2 \leq |\nabla u|$ almost everywhere. As

$$\text{cap}_1(B(x_0, R), \overline{B}(x_0, r_0)) = 2\pi r_0$$

and

$$\text{cap}_p(B(x_0, R), \overline{B}(x_0, r_0)) = C_0(p)(r_0^{\frac{p-2}{p-1}} - R^{\frac{p-2}{p-1}})^{1-p} \geq \tilde{C}_0(p)r_0^{2-p}$$

for $1 < p < 2$ (cf. [6]), we readily obtain the desired estimate

$$\int_G |\nabla u|^p \geq 2^{-p} \text{cap}_p(B(x_0, R), \bar{B}(x_0, r_0)) \geq C(p)r_0^{2-p} \geq \tilde{C}(p)d^{2-p}.$$

We may thus assume that, for each $d/2 \leq r \leq d$, there is $y \in S(x_0, r)$ with $u(y) \leq 1/2$. Then, for almost every $d/2 \leq r \leq d$

$$1/2 \leq \int_{S(x_0, r)} |\nabla u| \leq (2\pi r)^{\frac{p-1}{p}} \left(\int_{S(x_0, r)} |\nabla u|^p \right)^{\frac{1}{p}}$$

and so by Fubini

$$\int_G |\nabla u|^p \geq \int_{d/2}^d \int_{S(x_0, r)} |\nabla u(x)|^p d\omega dr \geq \int_{d/2}^d \frac{dr}{2^p(2\pi r)^{p-1}} = C(p)d^{2-p},$$

which proves the claim. \square

3. PROOFS OF THE THEOREMS

Proof of Theorem 1. To simplify calculations, we may assume that $f((1, 0)) = (0, 0)$. Let $0 < h < 1/2$ and define

$$E' := \{(x_1, x_2) \in \partial\Omega_s : h \leq x_1 \leq 1/2, x_2 > 0\}$$

$$F' := \{(x_1, x_2) \in \partial\Omega_s : h \leq x_1 \leq 1/2, x_2 < 0\},$$

so that $\text{dist}(E', F') = 2h^{1+s}$. Set $E := f^{-1}(E')$ and $F := f^{-1}(F')$, which will be separate continua and subsets of ∂B because f^{-1} is a homeomorphism (see Figure 1). Furthermore, as $(0, 0) \notin E' \cup F'$, it will be that $(1, 0) \notin E \cup F$. Set $\tilde{h} := \min\{\text{dist}((1, 0), E), \text{dist}((1, 0), F)\}$ and $C_f := \frac{1}{2} \text{dist}(f^{-1}((1/2, 1/2^{1+s})), f^{-1}((1/2, -1/2^{1+s})))$. Now, $C_f > 0$ and by taking h small enough in the beginning, we may assume that $0 < \tilde{h} < 1/2$. Notice also that C_f does not depend on h or \tilde{h} .

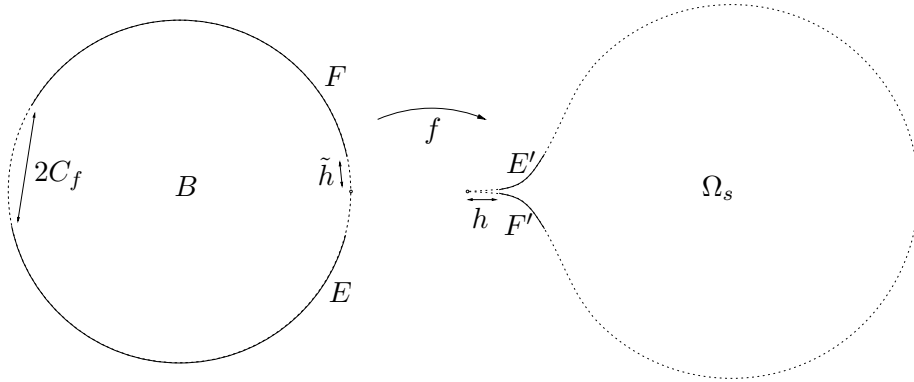


FIGURE 1. The setting in the proof of Theorem 1

Let

$$(4) \quad \rho(x) = \begin{cases} 1/(C_f|x - (1, 0)|) & \text{for } |x - (1, 0)| > \tilde{h} \\ 1/(C_f\tilde{h}) & \text{for } |x - (1, 0)| \leq \tilde{h} \end{cases}$$

and define $v(x) = \inf \int_{\gamma_x} \rho ds$, where the infimum is taken over all rectifiable paths γ_x in \bar{B} joining $x \in \bar{B}$ to E . One easily observes that $v(x) = 0$ for all $x \in E$ and $v(x) \geq 1$ for all $x \in F$. Moreover, as $\nabla v = \rho$ in B , we easily obtain an upper estimate for $\int_B |\nabla v|^2$ by computing

$$(5) \quad \int_B |\nabla v|^2 \leq C_f^{-2} \left(\pi + \int_{B \setminus B((1,0), \tilde{h})} \frac{1}{|x - (1,0)|^2} \right) \leq \tilde{C}_f \log(1/\tilde{h}).$$

Let $q = 2p/(p+1)$ and set $u = v \circ f^{-1}$, so that now $u \in W^{1,1}(\Omega_s)$ with $u = 0$ on E' and $u \geq 1$ on F' . Thus for almost every $t \in [h, 1/2]$ we have

$$(6) \quad 1 \leq \int_{-t^{1+s}}^{t^{1+s}} |\nabla u(t, y)| dy \leq \left(\int_{-t^{1+s}}^{t^{1+s}} |\nabla u(t, y)|^q dy \right)^{\frac{1}{q}} (2t^{1+s})^{\frac{q-1}{q}}.$$

In the case of $(s+1)(q-1) \leq 1$ we readily obtain $s \leq 2/(p-1)$ and are done. Thus we may assume that $(s+1)(q-1) > 1$. In this case (6) implies via Fubini that

$$(7) \quad \int_{\Omega_s} |\nabla u|^q \geq \int_h^1 \frac{2^{1-q}}{t^{(1+s)(q-1)}} dt \geq \frac{C_0}{h^{(s+1)(q-1)-1}}.$$

On the other hand, by applying the distortion inequality and the Hölder's inequality, we obtain the upper estimate

$$(8) \quad \begin{aligned} \int_{\Omega_s} |\nabla u|^q &\leq \int_{\Omega_s} |\nabla v(f^{-1})|^q |Df^{-1}|^q \\ &\leq \int_{\Omega_s} |\nabla v(f^{-1})|^q J_{f^{-1}}^{\frac{q}{2}} K_{f^{-1}}^{\frac{q}{2}} \\ &\leq \left(\int_{\Omega_s} |\nabla v(f^{-1})|^2 J_{f^{-1}} \right)^{\frac{q}{2}} \left(\int_{\Omega_s} [K_{f^{-1}}]^{2\frac{q}{2-q}} \right)^{\frac{2-q}{2}} \\ &\leq C(f, \|K_{f^{-1}}\|_{L^p(\Omega_s)}) \log^{\frac{q}{2}}(1/\tilde{h}). \end{aligned}$$

Here the last inequality follows from a change of variable (cf. [6], Theorem 6.3.2), the estimate (5) and the fact that $q/(2-q) = p$.

By applying the modulus of continuity estimate (2) to (7) and combining the result with (8), we obtain the estimate

$$C_1 \log^{\frac{p}{2}((s+1)(q-1)-1)}(1/\tilde{h}) \leq C_2 \log^{\frac{q}{2}}(1/\tilde{h}).$$

By substituting $q = 2p/(p+1)$, and observing that this inequality must hold for all small \tilde{h} , we readily have

$$\frac{p}{2} \left((s+1) \left(\frac{2p}{p+1} - 1 \right) - 1 \right) \leq \frac{p}{p+1},$$

which, when solving for s , implies that $s \leq 4/(p-1)$.

If f is assumed to be quasiconformal on B , we can take $q = 2$, and have the lower bound

$$\int_{\Omega_s} |\nabla u|^2 \geq \frac{C_0}{h^s},$$

and the upper bound

$$\begin{aligned} \int_{\Omega_s} |\nabla u|^2 &\leq \int_{\Omega_s} |\nabla v(f^{-1})|^2 |Df^{-1}|^2 \leq \int_{\Omega_s} |\nabla v(f^{-1})|^2 J_{f^{-1}} K_{f^{-1}} \\ &\leq \|K_{f^{-1}}\|_{L^\infty(\Omega_s)} \int_B |\nabla v|^2 \leq C(f, \|K_{f^{-1}}\|_{L^\infty(\Omega_s)}) \log(1/\tilde{h}). \end{aligned}$$

By applying the modulus of continuity to the lower bound and combining the result with the upper bound, one arrives via similar reasoning as in the first part of the proof to the inequality $s(p/2) \leq 1$, which proves the last part of the claim. \square

Proof of Theorem 2. First we consider the case when f is not required to be quasiconformal on B . Set $Q = \{(x, y) \in \mathbb{R}^2 : |x| \leq 1 \text{ and } |y| \leq 1\}$, $Q^+ = Q \cap \{(x, y) \in \mathbb{R}^2 : x \geq 0\}$ and $Q^- = Q \cap \{(x, y) \in \mathbb{R}^2 : x < 0\}$, and define

$$h(x, y) = \begin{cases} (x, yx^{-s}) & \text{if } (x, y) \in Q^+ \cap \Omega_s, \\ (x, y^{\frac{1}{1+s}}) & \text{if } (x, y) \in Q^+ \setminus \Omega_s. \end{cases}$$

This gives a homeomorphic self map on Q^+ such that the set $\{(x, y) \in Q^+ : |y| = x^{1+s}\} = Q^+ \cap \partial\Omega_s$ maps to the set $\{(x, y) \in Q^+ : |y| = x\}$, and the mapping is identity on $\partial Q^+ \setminus \{(x, y) \in \mathbb{R}^2 : x = 0, -1 < y < 1\}$. Next we define a mapping $H: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ by setting

$$H(x, y) = \begin{cases} h(x, y) & \text{if } (x, y) \in Q^+ \\ (T \circ h \circ T)(x, y) & \text{if } (x, y) \in Q^- \\ (x, y) & \text{if } (x, y) \in \mathbb{R}^2 \setminus Q, \end{cases}$$

where $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is the reflection with respect to the y -axis, i.e. $(x, y) \mapsto (-x, y)$. It is easily seen that H is homeomorphic and that it ‘‘opens’’ the only zero angle of $\partial\Omega_s$ that resides at the origin. Because $H(\Omega_s)$ will clearly be a quasidisk, there exists a quasiconformal mapping $b: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ with $b(H(\Omega_s)) = B$.

Next we will show that the composition $b \circ H: \mathbb{R}^2 \rightarrow \mathbb{R}^2$, that is clearly homeomorphic, is also a mapping of finite distortion. The desired mapping f will then be the inverse of $b \circ H$ as Theorem 3.3. in [4] states that the inverse of a homeomorphism of finite distortion is also of finite distortion. As we are interested on the local L^p -integrability of $K_{b \circ H}$, we only need to consider K_H as we can take $K_{b \circ H}(x) = K_b(H(x))K_H(x)$, where K_b is bounded.

On the set $\mathbb{R}^2 \setminus Q$ the distortion of H is evidently bounded, and because of the symmetry, we only need to consider the set Q^+ . As the differential matrices of H in $Q^+ \cap \Omega_s$ and $Q^+ \setminus \Omega_s$ are

$$\begin{bmatrix} 1 & 0 \\ -syx^{-s-1} & x^{-s} \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 1 & 0 \\ 0 & \frac{1}{1+s}y^{-s/(1+s)} \end{bmatrix},$$

respectively, we observe that $K_H(x, y) \asymp 1/x^s$ when $(x, y) \in Q^+ \cap \Omega_s$ and $K_H(x, y) \asymp 1/y^{-s/(1+s)}$ when $(x, y) \in Q^+ \setminus \Omega_s$. Next, by computing

$$\int_{Q^+ \cap \Omega_s} K_H^p \asymp \int_0^1 \int_{-x^{1+s}}^{x^{1+s}} x^{-ps} dy dx = \int_0^1 2x^{1+s-ps} dx$$

and

$$\int_{Q^+ \setminus \Omega_s} K_H^p \asymp \int_0^1 \int_{x^{1+s}}^1 y^{-ps/(1+s)} dy dx \asymp \int_0^1 x^{1+s-ps} dx,$$

we see that $K_H \in L_{\text{loc}}^p(\mathbb{R}^2)$ if $1 + s - ps > -1$, i.e. $p < 2/s + 1$.

Next we consider the situation when f is quasiconformal on B . Here we refer to the mapping constructed in [7] in the proof of Theorem 1. For a given $s > 0$, a homeomorphism $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ of finite distortion is constructed which maps B to Ω_s and is quasiconformal on B . The point $a := (-1, 0) \in \partial B$ maps to the tip of the cusp $(0, 0) \in \partial \Omega_s$. Also, for some $0 < r_0 < 1$ the distortion is bounded outside $B(a, r_0)$ and in $B(a, r_0)$ we have that

$$(9) \quad K_f(x) \asymp \log(2/|x - a|).$$

Moreover, this specific mapping satisfies

$$|f(x)| \leq C \log^{-1/s}(2/|x - a|),$$

for all $0 < |x - a| < 1$ so that

$$(10) \quad |f^{-1}(y) - a| \geq 2 \exp^{-1}(\tilde{C}|y|^{-s}),$$

when $|y|$ is small.

As f is a homeomorphism, we may choose $0 < r' < 1$ such that $f^{-1}(B(0, r')) \subset B(a, r_0)$. Now, by applying (9) and (10) and using the fact that $K_{f^{-1}}(y) = K_f(f^{-1}(y))$ (cf. [4], proof of Theorem 1.6, and [3], Corollary 2.2) we may estimate $\int_{B(0, r')} K_{f^{-1}}^p$ by computing

$$\begin{aligned} \int_{B(0, r')} K_{f^{-1}}^p(y) dy &= \int_{B(0, r')} K_f^p(f^{-1}(y)) dy \\ &\leq C_1 \int_{B(0, r')} \log^p \left(\frac{2}{|f^{-1}(y) - a|} \right) dy \\ &\leq C_2 \int_{B(0, r')} \frac{dy}{|y|^{ps}}. \end{aligned}$$

As the last integral is finite when $ps < 2$, i.e. $p < 2/s$, the claim is proven. \square

Proof of Theorem 3. Set $q := 2p/(1 + p)$ so that $1 \leq q < 2$. Let $x, y \in \mathbb{R}^2$ be such that $y \in B(x, 1/2)$ and set $F \subset B(x, 1) := B'$ to be the line segment between these points. Denote $G := f(B')$ and $E := f(F)$. Now, Lemma 1 readily implies that

$$(11) \quad \text{cap}_q(G, E) \geq C(q)(\text{diam } E)^{2-q} \geq C(q)|f(x) - f(y)|^{2-q}.$$

Let u be a Lipschitz continuous test function for $\text{cap}_2(B', F)$ and define $v = u \circ f^{-1}$, which will give a test function for $\text{cap}_q(G, E)$. By applying the distortion inequality, Hölders inequality and a change of variable, we arrive at the estimate

$$\begin{aligned} \text{cap}_q(G, E) &\leq \int_G |\nabla v|^q \leq \int_G |\nabla u(f^{-1})|^q |Df^{-1}|^q \\ &\leq \int_G [|\nabla u(f^{-1})|^2 J_{f^{-1}}]^{q/2} K_{f^{-1}}^{q/2} \\ &\leq \left(\int_{B'} |\nabla u|^2 \right)^{q/2} \left(\int_G K_{f^{-1}}^{q/(2-q)} \right)^{(2-q)/2}. \end{aligned}$$

By approximating an arbitrary test function \tilde{u} for $\text{cap}_2(B', F)$ by Lipschitz continuous test functions, we obtain

$$(12) \quad \text{cap}_q(G, E) \leq [\text{cap}_2(B', F) \cdot \|K_{f^{-1}}\|_{L^{q/(2-q)}(G)}]^{q/2}.$$

By combining (11) and (12), and using the standard capacity estimate $\text{cap}_2(B', F) \leq 2\pi \log^{-1}(\text{diam } B' / \text{diam } F)$ for the pair (B', F) , we conclude that

$$C(q)|f(x) - f(y)|^{2-q} \leq (2\pi \log^{-1}(1/|x - y|) \|K_{f^{-1}}\|_{L^{q/(2-q)}(G)})^{q/2},$$

which proves (2) as $p = q/(2 - q)$. □

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